

INVESTIGATIONS

The investigations division conducts full range of independent, proactive and reactive criminal and administrative investigations of the economy, efficiency, and effectiveness of DIA programs and activities. The office is divided according to specific program areas: criminal investigations, administrative investigations/hotline, and the fraud investigations branch.

Our focus is detecting, deterring, and reporting fraud, waste, and abuse within DIA by conducting a full range of criminal and administrative investigations into the economy, efficiency, and effectiveness of DIA programs and activities. Our goal is to successfully resolve all allegations and, when appropriate, facilitate management-directed disciplinary actions or successful criminal prosecution. We also identify and inform management of systemic weaknesses in DIA programs and systems when those weaknesses could result in criminal activities or render DIA programs and systems vulnerable to criminal exploitation.

We manage the OIG Hotline and investigate whistleblower complaints, including those referred to us by other organizations.



How INVESTIGATIONS Work

Receiving allegations.

Investigations begin when someone (inside or outside DIA) informs us of an issue affecting DIA's programs, employees, contractors, or grantees. We review the allegation to determine the validity of the claim. If the allegations are valid, we investigate the matter and notify the complainant of the results, if warranted, or refer the matter to a responsible official for action.

Investigate

We analyze evidence, interview witnesses, and issue search warrants and subpoenas, as needed. We may also coordinate with other law enforcement agencies and employ investigative techniques such as consensual monitoring and undercover operations.

Facilitate and Report on Outcomes

If an investigation leads to a judicial action, we inform the responsible management officials of the outcome. If no judicial action results, we track any corrective or disciplinary actions taken. We also publish a summary of the outcome of each investigation on our website.

AUDITS

We conduct independent, objective audits on all aspects of DIA operations, including the Agency's financial statements. Audits provide essential accountability and transparency over government programs and enable decisionmakers to improve operations, reduce costs, comply with laws and regulations, and strengthen internal controls to reduce the potential for fraud and illegal acts. Audits may be required by law or initiated based on risk assessments and input from legislators, oversight bodies, DIA leadership and officers, and the public. We conduct all audits in accordance with standards established by the Comptroller General of the United States.

How AUDITS Work

Announce

The IG announces the audit in a memo stating our objective. We send the memo to relevant DIA offices and request points of contact to assist us in obtaining access to personnel and records.

Analyze Program Performance

We examine records, interview officers, observe processes, and analyze data in multiple ways to answer the audit objective. We may identify issues, such as those related to the efficiency and effectiveness of programs, internal controls, or compliance with laws and regulations, and discuss such issues and our recommendations for resolving them with officers and senior leaders. Then we issue a draft report containing the findings and recommendations to relevant DIA offices.

Gather Management Comments

Recipients of the draft report provide us written comments on its recommendations within 30 days of receipt. Comments indicate whether DIA agrees with recommendations and the plans for implementing them. We include these comments in a final report, which we distribute to relevant DIA offices and the Director.

Monitor Corrective Action

DIA offices are responsible for implementing their plans to address audit recommendations. We communicate monthly with offices and the Director on the status of open recommendations and report the information to Congress every six months.

INSPECTIONS

We assess mission performance and accomplishment; compliance with applicable laws, policy, and regulations; and management effectiveness, all to improve DIA operations and programs. To achieve this, we evaluate, review, study, and analyze DIA's programs, offices, and activities.

How INSPECTIONS Work

Survey, Announce, and Plan

We select topics based on strategic and Agency risk factors. Once the topic is selected, we officially announce the inspection or evaluation through a letter to DIA leadership outlining broad expectations. We then research to develop a plan, which identifies the scope, objectives, methodologies, and timelines needed to evaluate the topic.

Gather and Analyze Information

We examine documents, interview officials, visit facilities, and benchmark within the DoD, IC, or private sector. After analyzing this information, we assess the efficiency and effectiveness of the program and identify any problems that may need to be addressed.

Fieldwork

We analyze the data collected, develop findings and recommendations, and provide an exit briefing to senior leadership. The briefing highlights applicable issues for improvement.

Develop Findings

We write a draft report of our findings, ensuring that it is objective, fact based, and fair. In the report, we document all work and supporting evidence, thoroughly checking the accuracy of all facts and analyses. We provide a copy of the draft to the leaders of the inspected or evaluated organization for review and comment.

Issue the Final Report

Once we receive leadership comments on the draft report, we incorporate them into the text to create the final report. This report is disseminated to DIA leadership, officials, and members of Congress and is generally posted on our JWICS website. We continue to monitor actions taken to address our recommendations to ensure that they are completed.

OUR MISSION

Conduct independent, objective, and timely oversight across the DIA Enterprise, to: promote economy and efficiency; detect and deter fraud, waste, abuse, and mismanagement; and inform DIA and Congress.



OUR VISION

An inclusive and dynamic team of professionals that is a catalyst for accountability and positive change, compelling a more unified, adaptive, relevant, and agile DIA Enterprise.

OUR CORE VALUES

- **Teamwork:** Collaboratively partner internally and across organizational boundaries to achieve common goals.
- **Integrity:** Courageously adhere to the highest ethical principles and honor confidentiality, objectivity, and trustworthiness.
- **Excellence:** Provide the highest quality products and customer service.
- **Accountability:** Steadfastly commit to deliver solutions that meet the highest standards.
- **Initiative:** Insightfully solve challenges and organize priorities.

AUTHORITY AND PURPOSE

Congress established the DIA OIG as a statutory, independent, and objective office to conduct and supervise audits, investigations, and inspections relating to DIA's programs and operations. The Inspector General Act of 1978, as amended, charges the IG with providing leadership and coordination for activities designed to promote economy, efficiency, and effectiveness, and to prevent and detect fraud, waste, and abuse.

CONTACT US

Visit our Web site at www.dia.mil/About/Office-of-the-Inspector-General/

OIG HOTLINE

Report Fraud, Waste & Abuse

To report alleged violations of laws, rules, or regulations; employee misconduct; waste of funds; theft; fraud; abuse of authority; or danger to public health and safety that involves DIA programs, funds, employees, and contractors, contact us through our Hotline at:

IGHotline@coe.ic.gov—**JWICS**

IGHotline@dia.smil.mil—**SIPRNET**

IG_Hotline@dodiis.mil—**NIPRNET**

If you are unable to access our website, please call or fax:

(202) 231-1000—**Commercial**

(312) 428-1000—**DSN**

(202) 231-1020—**Open Fax**

(202) 231-1005—**Secure Fax**

DEFENSE INTELLIGENCE AGENCY

OIG

OFFICE OF THE INSPECTOR GENERAL

